## HARVARD UNIVERSITY

## CENTER FOR SCIENCE AND INTERNATIONAL AFFAIRS

495-1000 8132

June 15, 1987

- 1400 Center

John F. Kennedy School of Government 79 John F. Kennedy Street Cambridge, Massachusetts 02138

Dr. Daniel Ellsberg

Dear Dr. Ellsberg:

I enclose a document that my friend and fellow psychologist, Margaret Brenman-Gibson, thought would interest you: A psychological study of the final 48 hours of the Cuban missile crisis. My thesis is this: That the standard interpretations of this event, from participants and analysts alike, are much too cognitive, too bound up with the micro-economic, rational-actor "psychology" that has so bewildered me, since I entered this field about three years ago. I have tried to avoid, at all costs, being reductively psychological. I want to speak too the people who speak most authoritatively about risk of nuclear war, not at them. But I have also tried my best to suggest that the key lesson of the Cuban missile crisis is that it was resolved peacefully, with only one American death, because leaders on both sides became powerfully filled in the last two days with the fear of inadvertent nuclear war. They were losing control of the situation and they knew it, and this is why they threw in the towel. This manuscript will be revised next month, in accordance with a great many interviews I have conducted in the past several months with former members of President Kennedy's EXCOMM, but also with others, such as Ray Cline, Ray Garthoff, Bill Hyland, Abe Chayes and others. I plan to write an epilogue that says two things, mainly: That the hypothesis is confirmed -- fear of inadvertent nuclear war was the reason the crisis ended when, and as, it did; but also that there was a significant minority in the EXCOMM that felt none--I mean absolutely none! -- of this fear. Maxwell Taylor, speaking just before his recent death, Douglas Dillon and Paul Nitze have all said that they were amazed at the fear in their colleagues and that they have never understood in the least. To these people, there were no risks, and the US ought to have bombed the missile sites immediately, and this would have provoked no response from the Soviets, simply because of the roughly 5000-200 or so gap between the number of strategic weapons at the disposal of the two superpowers.

I send this to you now, along with this request that you and I arrange to talk about the crisis, for several reasons. First, as you will note in my manuscript, I deal only very slightly with the Soviet side of the equation. I had no choice. I was told by such people as Garthoff, Taubman and Horelick that all we really know for sure is that Khrushchev was scared in pretty much the way, and to the extent, he describes in his memoirs. I do draw on this material, as best I can. But I have heard from Scott Armstrong at the National Security Archive (who was instrumental in helping me put together a briefing notebook of 500 pages or so, in preparation for a conference we recently held on the missile crisis) that you believe that more can be said about the Soviet fear of inadvertence, of losing control of the situation, than has heretofore seen light of day. In particular, he mentioned what he called

a "firefight" at one of the SAM sites in Cuba, during which Cubans and Soviets were killed, as the Cubans tried to overrun the base, or perhaps did briefly overrun it, as the Cubans tried their damndest to provoke a war between the superpowers, rather than allow the missiles to be removed from Cuba. If true, then this provides even more evidence that the Soviets too, like the Americans, were having all sorts of problems retaining control of the situation. As your old boss Bob McNamara told me last month, the missile crisis proves to him that crisis management, as some sort of technology for managing crises in which nuclear weapons are involved, is just nuts. This new revelation, if true, would prove that there is an important symmetry to the fear of inadvertent nuclear war in a crisis.

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I want you to understand my purpose: I want to talk to you about the Cuban missile crisis. That is all. I am not a journalist, I am not interested in the least in "scoops." I am trying to figure out why the Cuban missile crisis ended without a war, I have tried to integrate into my analyses some of my psychological background, and I have come to some preliminary conclusions. These involve chiefly fear of inadvertent nuclear war. But I have been unable simply because I am so ignorant, to carry my argument to the Soviet side. And I don't have to tell you how important it is to do so. Everytime I or some

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of my other colleagues who believe that the real nuclear fear ought to be inadvertence--Murphy's Law--talk or write about this, we inevitably hear: "Sure, but the Soviets are not like us. They respond only to cold hard facts, like being very far behind in the arms race." If any light--any light--could be brought to bear on the Soviet motivation for ending the Cuban missile crisis that emphasizes their own susceptibility to this sort of fear, I think a blow might be struck for sanity in the analysis of nuclear crises, and risk of nuclear war. Your piece of information, if true, would help to do just this, and I would like to talk about it with you.

Moreover, I myself have some information that, if you have an abiding interest in the crisis, may be of some considerable interest to you, and which points us in the very same direction as your revelation. It is this: Dean Rusk told me not long ago that on the evening of October 27, 1962, President Kennedy ordered him to place a phone call to Andrew Cordier at Columbia (but formerly director of protocol at the UN) and tell him this: That he was to hold onto a message, to the effect that, if a sign was given from the White House, via Rusk, U Thant was to be asked to go immediately on Radio and Television announcing a plan for a public trade of Americannissiles in Turkey for Soviet SS4s and SS5s in Cuba. The idea was that the deal would appear to originate in the UN, though the real source would have been Kennedy, and the implication was that Kennedy would have agreed to it, rather than risk a war in Cuba. This is certainly not a policy, but it shows the direction of the President's thinking: He was afraid that any sort of war over the Cuban missiles was too risky. He would rather risk impeachment, ? or the collapse of NATO, so it appears, than escalation to nuclear war over 40 or so missiles on the island of Cuba. But of course, this is just what you would expect from a man who was so keenly aware that events were spiraling out of control, as he told Khrushchev in his cable of October 28 accepting Khrushchev's own acceptance of the deal--the private "trade" and the public pledge not to invade Cuba, for getting the missiles out of Cuba. So, you can see that as new information comes to light, it all seems to be pointing in the same direction--toward fear of inadvertence, and what it might lead to. There are many other examples I would like to discuss with you along these lines.

Not only will I be revising the manuscript I have enclosed, but a colleague and I--a graduate student in the Harvard Government Department--are writing a second book on the whole crisis, using our rich interview material. I would dearly like to include you in our array of interviewees and, maybe even more importantly, on my very short list of people I can talk to about the crisis who were there, as I was not, and who have also continued to think about the event many years later.

I have a small amount of money with which I could finance a trip to California, if you believed that, say several hours of discussion about the missile crisis with yours truly would interest you. I would be happy to let you establish the rules. We can tape it or not. You can put some things on the record and some things off, if you like. And so on. The main thing is this: That I would have an opportunity to share with you what I have been learning about this pivotal event; and you could share with me what you know about it, and about the context in which it occurred, as these insights are

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But enough. I'll look forward to hearing from you. I don't mind saying that you used to be one of my heroes, when I was an undergraduate. I guess this fact worked unconsciously during the year I was a student here at the Kennedy School, 1983-1984, because the first term paper I wrote took its epigram and title from your introduction to E.P. Thompson's protest and Survive, on the "revolt of the hostages." Now, it appears, I may with luck have an opportunity to transform hero worship into something like a real and mutual exchange of views on an event in which I am presently immersed, and in which you actually participated. I hope it works out.

All the best.

Sincerely,

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Research Fellow and Director of the Project on Avoiding Nuclear War

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